



Updated process >>>

NSAI Update >>>

Revised Post Audit Process>>

From October 2010 the Australian Universities Quality Agency will be coordinating the post audit follow-up process for Non Self-Accrediting Institutions (NSAIs). Some minor changes have been implemented to the post audit follow-up procedure and this communication will provide you with an understanding of the new processes.

A new version of the AUQA Audit Manual will be available on the AUQA website soon.

If you have any concerns please contact AUQA Post Audit Coordinator Christine Ashton on (03) 9664 1031 to discuss your individual case.

Post Audit Coordinator >>>

This is a newly created role within AUQA to coordinate post audit activities which had previously been managed by DEEWR. The incumbent, Christine Ashton, has worked in the higher education sector in excess of nine years, with her experience predominantly in a higher education provider. Christine's background with NSAIs will assist in ensuring that the changes to the post audit processes will be as seamless as possible.

What's New for NSAIs >>>



- After the audit report is released publicly, AUQA will write to the auditee seeking their Action Plan in relation to the recommendations/affirmations outlined in the audit report. An Action Plan template will be provided for you to use.
- The auditee will have two calendar months to respond to this request.
- Once the auditee has responded to AUQA about their planned actions to the recommendations/affirmations contained in the audit report, AUQA and DEEWR will review the Action Plan and provide the auditee with comment. Under paragraph 19-20(c) of the HESA, the Minister may (in writing) impose a requirement on a provider to implement a specified recommendation or recommendations of the quality auditing body. Correspondence from the Minister imposing this will contain a time-frame within which the auditee is required to respond.
- All auditees will be required to write a Progress Report approximately two years after receiving the audit report. AUQA will send a reminder two months prior to the due date. The Progress Report will be a summary (10—30 pages) of the action taken and further planning to address issues resulting from the recommendations/affirmations made in the audit report. A copy of the Progress Report will also be sent to DEEWR for review.
- AUQA will conduct a Progress Report visit approximately one month after submission to discuss with the provider the actions they have taken in relation to the recommendations/affirmations and to clarify any areas that need resolution.
- For institutions audited by AUQA, the Progress Report will also be accessible to the public on the AUQA website in a PDF format and to be made publicly available on the auditee's website.

Progress Report Visit >>>

The Progress Report visit is conducted by an AUQA Audit Director (or possibly another original panel member). The context of the visit is to discuss any issues that have arisen from the Progress Report, seek further clarification on the auditee's response to the recommendations/affirmations and to suggest any changes required to the Progress Report before it is accepted as satisfactory and made public via the AUQA website. The visit may include discussions with other staff as determined by the AUQA Audit Director.

NSAI

Post Audit Process Chart

